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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09 / 697,728	
	<b>Filing Date</b>	October 26, 2000	
	<b>First Named Inventor</b>	Karl C. Huff et al.	
	<b>Group Art Unit</b>	3753	
	<b>Examiner Name</b>	T.B.D.	
<b>Total Number of Pages in This Submission</b>	18	<b>Attorney Docket Number</b>	52421.004C

ENCLOSURES <i>(check all that apply)</i>		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input checked="" type="checkbox"/> Petition To Accord Corrected Filing Date	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
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<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2. Copy of Notice of Missing Parts.
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	3. \$690 Fee in Check # 11,453.
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	<b>Remarks</b>	4. Return Receipt Postcard.
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	PLEASE DATE STAMP THE ENCLOSED, PREADDRESSED, POSTAGE PREPAID POSTCARD & RETURN BY U.S. POSTAL SERVICE TO THE UNDERSIGNED ATTORNEY.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Sean M. Casey Co., L.P.A. by Sean M. Casey
Signature	
Date	March 21, 2001

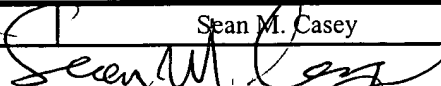
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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>March 21, 2001</u>		
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<b>FREE TRANSMITTAL</b> for FY 2001 Patent fees are subject to annual revision. MAR 23 2001 U.S. PATENT & TRADEMARK OFFICE		<b>Complete if Known</b>		
		Application Number	09 / 697,728	
		Filing Date	October 26, 2000	
		First Named Inventor	Karl C. Huff et al.	
		Examiner Name	T.B.D.	
		Group Art Unit	3753	
TOTAL AMOUNT OF PAYMENT (\$)		690	Attorney Docket No.	52421.004C

<b>METHOD OF PAYMENT</b>		<b>FEE CALCULATION (continued)</b>			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 50-1526 Deposit Account Name: Sean M. Casey Co., L.P.A. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES			
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		Large Entity Fee Code (\$)			
<b>FEE CALCULATION</b>		Small Entity Fee Code (\$)			
1. BASIC FILING FEE		Fee Description			
Large Entity Fee Code (\$)		Fee Paid			
Small Entity Fee Code (\$)					
Fee Description					
101 710 201 355 Utility filing fee		355			
106 320 206 160 Design filing fee					
107 490 207 245 Plant filing fee					
108 710 208 355 Reissue filing fee					
114 50 214 75 Provisional filing fee					
SUBTOTAL (1) (\$)		355			
2. EXTRA CLAIM FEES					
Total Claims 35		Extra Claims			
Independent Claims 5		Fee from below			
Multiple Dependent		Fee Paid			
20- = 15 X 9 = 135					
3- = 2 X 40 = 80					
0 = 0					
Large Entity Fee Code (\$)		Fee Description			
Small Entity Fee Code (\$)					
103 18 203 9 Claims in excess of 20					
102 80 202 40 Independent claims in excess of 3					
104 270 204 135 Multiple dependent claim, if not paid					
109 80 209 40 ** Reissue independent claims over original patent					
110 18 210 9 ** Reissue claims in excess of 20 and over original patent					
SUBTOTAL (2) (\$)		215			
**or number previously paid, if greater; For Reissues, see above					
		Other fee (specify)			
		SUBTOTAL (3) (\$)			
		120			

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>			
Name (Print/Type)	Sean M. Casey	Registration No. (Attorney/Agent)	39,514	Telephone	(614) 222-0800
Signature		Date	March 21, 2001		

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<b>WATR-52421-COS US PAT APP</b> <b>(DIV. 004)</b>	

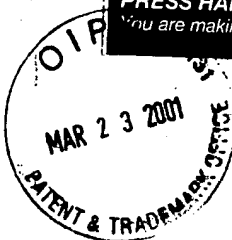
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/697,728	10/27/2000	Karl C. Huff et al	WATR-52421.005

## FORMALITIES LETTER



\*OC000000005637696\*

Sean M Casey  
Sean M Casey Co LPA  
Post Office box 710  
New Albany, OH 43054-0710

Date Mailed: 12/26/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$340.
  - \$180 for 10 total claims over 20.
  - \$160 for 2 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.136(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 1180.

04/20/2001 TL0011 00000005 09697728

01 FC:203

90.00 OP

$\$ \frac{110}{1296} \times 2 \text{ (SMALL ENTITY)} = 645^2$

A copy of this notice MUST be returned with the reply.

Repln. Ref: 04/20/2001 TL0011 0011115500

DAB:504526 Name/Number:09697728

FC: 704 \$45.00 CR

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/26/2001 EABUBAK1 00000071 09697728  
355.00 OP  
80.00 OP  
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65.00 OP  
01 FC:201  
02 FC:202  
03 FC:203  
04 FC:205  
Adjustment Date: 04/20/2001 TL0011  
03/26/2001 EABUBAK1 00000071 09697728  
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